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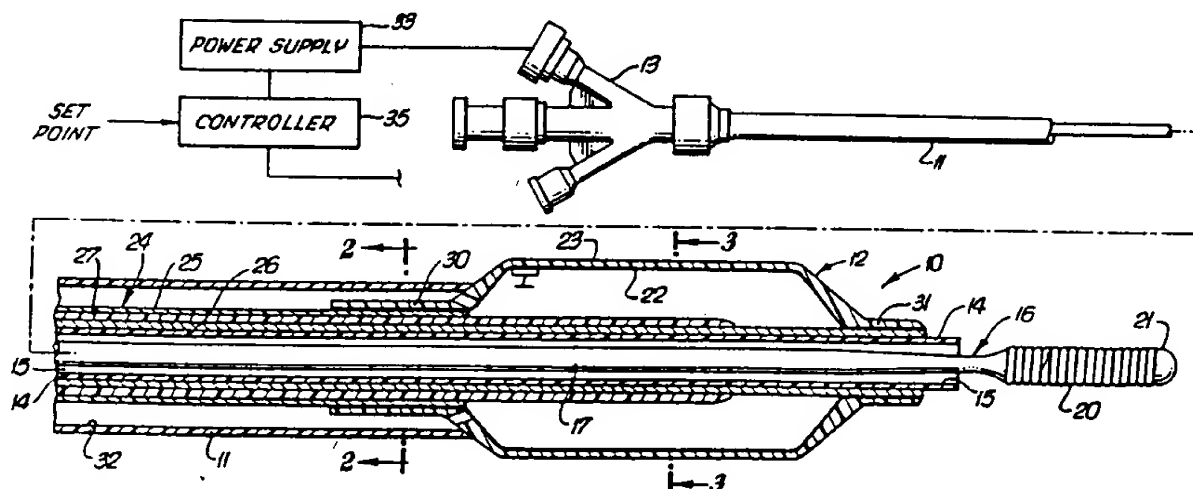
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Evidence A-1

(54) Title: DILATATION CATHETER ASSEMBLY WITH HEATED BALLOON



## (57) Abstract

A balloon dilatation catheter (10) having heating elements (22) raises the temperature of the working surface of the balloon while the balloon is being inflated during an angioplasty procedure. In one embodiment, the balloon (12) is provided with a thin electrically conductive layer (20) in heat transfer relationship therewith preferably on the interior surface of the balloon (12). Electrical power (33) at radio frequencies is preferred and a coaxial cable (24) is employed to deliver such power to a conductive layer (22) for heating the balloon (12). In another embodiment, the balloon itself (43) is formed of electrically conductive material. A perfusion lumen (42) may be provided through the balloon with one or more proximal inlet ports (46) and one or more distal discharge ports (47) in fluid communication with the lumen (42) to allow blood to pass through the balloon (43) when it is inflated during angioplasty procedures. This facilitates the flow of oxygenated blood distally of the catheter when the balloon is inflated thus allowing for extended balloon inflations, e.g., up to 30 minutes or more. The catheter assembly (10) may also be employed to

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## DILATATION CATHETER ASSEMBLY WITH HEATED BALLOON

BACKGROUND OF THE INVENTION

5 This invention generally relates to a dilatation catheter suitable for angioplasty procedures which has a dilatation balloon with heated working surface and particularly to such a catheter which can perfuse blood distally of the balloon during the inflation thereof.

10 In typical percutaneous transluminal coronary angioplasty (PTCA) procedures, a guiding catheter having a preformed distal tip is percutaneously introduced into the cardiovascular system of a patient through the brachial or femoral arteries and advanced therein until the distal tip thereof is in the ostium of the desired  
15 coronary artery. A guidewire and a dilatation catheter having a balloon on the distal end thereof are introduced through the guiding catheter with the guidewire slidably disposed within an inner lumen of the dilatation catheter. The guidewire is first advanced into the  
20 patient's coronary vasculature until the distal end thereof crosses the lesion to be dilated and then the dilatation catheter is advanced over the previously introduced guidewire until the dilatation balloon is properly positioned across the lesion. Once in position  
25 across the lesion, the flexible, relatively inelastic balloon is inflated to a predetermined size with radiopaque liquid at relatively high pressures (e.g., greater than about 4 atmospheres) to radially compress the atherosclerotic plaque of the lesion against the  
30 inside of the artery wall to thereby dilate the lumen of

the artery. The balloon is then deflated so that the dilatation catheter can be removed and blood flow resumed through the dilated artery.

Further details of angioplasty procedures and the devices used in such procedures can be found in U. S. Patent 4,323,071 (Simpson-Robert); U. S. Patent 4,332,254 (Lundquist); U. S. Patent 4,439,185 (Lundquist); U. S. Patent 4,168,224 (Enzmann et al.) U. S. Patent 4,516,972 (Samson); U. S. Patent 4,538,622 (Samson et al.); U. S. Patent 4,554,929 (Samson et al.); and U. S. Patent 4,616,652 (Simpson) which are hereby incorporated herein in their entirety.

Steerable dilatation catheters with built-in or fixed guidewires or guiding elements are used with increasing frequency because such catheters generally have smaller deflated profiles than conventional dilatation catheters with movable guidewires or elements with equivalent balloon size. The lower deflated profile of these catheters allows them to cross tighter lesions and to be advanced much deeper into the patient's coronary anatomy. Moreover, the use of steerable low-profile dilatation catheters can shorten the time for the angioplasty procedure because there is no need to first advance a guidewire across a lesion and then slide a conventional dilatation catheter over the previously advanced guidewire to position the balloon thereof across the lesion. Further details of low-profile steerable dilatation catheters may be found in U. S. Patent 4,582,181 (Samson); U. S. Patent 4,619,263 (Frisbie et al.); U. S. Patent 4,641,654 (Samson et al.); and U. S. Patent 4,664,113 (Frisbie et al.) which are hereby incorporated in their entirety by reference thereto.

Recently, efforts have been made to raise the temperature of the stenotic region during the dilation thereof in the belief that such procedures can minimize restenosis and can prevent abrupt reclosure of the artery when the balloon is deflated and removed. See, for example, U. S. Patent 4,799,479 (Spears) and U. S. Patent 4,643,186 (Rosen). Reference is also made to U. S. Patent 4,662,368 (Hussein et al.) and U. S. Patent 4,807,620 (Strul) which disclose catheters with an enlarged heated probe on the distal tip thereof for opening totally occluded arteries.

However, the prior catheters which applied heat to the atheroma had several disadvantages which can limit their usefulness in humans. For example, the direct irradiation employed in some of these devices can cause extensive coagulation of the blood and thermal injury to the tissue which surrounds the catheter at the treatment site. Moreover, frequently the operator's lack of knowledge of the temperature of the heating element can preclude effective moderation of the thermal treatment level. Additionally, non-uniform heating of the treatment area can create uncertainty whether the treatment area is receiving too much or too little heat. Clinically, these disadvantages have in some cases produced extreme pain, vessel reocclusion or aneurysm. None of the prior devices allowed for long-term dilations at elevated temperature.

What has been needed and heretofore unavailable is a balloon dilatation catheter assembly of simple construction and powered by inexpensive equipment which can quickly and uniformly heat up the atheroma during or following the dilatation thereof and preferably which can also perfuse oxygenated blood distally of the catheter

when the balloon is inflated to facilitate effective long-term dilations. The present invention satisfies that need.

#### SUMMARY OF THE INVENTION

5           According to one aspect of the invention, there is provided a balloon dilatation catheter having means to apply heat to atheroma within a patient's artery during the dilation thereof, the catheter comprising: an elongated tubular member having an inflation lumen  
10           extending therein; a flexible, relatively inelastic inflatable balloon on a distal portion of the tubular member which is adapted to receive inflation fluid from the inflation lumen extending therein; a thin electrically conductive layer forming a pathway for  
15           electrical current which is coextensive with a substantial portion of the working surface of the balloon and in radially conductive heat transfer relationship therewith; and means to pass electrical current through the electrically conductive pathway to resistively heat  
20           the layer and thereby increase the temperature of the working surface of the inflatable balloon.

          According to another aspect of the invention, there is provided a method of treating a stenotic region of a patient's artery over an extended period of time,  
25           comprising: advancing a dilatation balloon catheter through the patient's arterial system until the balloon of the catheter is disposed within the stenotic region; inflating the balloon to dilate the stenotic region thereby occluding the patient's artery; applying heat to  
30           the stenotic region while the balloon is inflated; and perfusing blood through a lumen which passes through the

interior of the balloon to maintain blood flow to tissue distal to the catheter.

5 This invention is directed to an improved balloon dilatation catheter which has means for heating the balloon during angioplasty procedures which may also have means to perfuse blood distally of the catheter when the balloon is inflated to facilitate long-term dilations.

10 The dilatation catheter in accordance with the invention includes an elongated tubular body with an inflatable balloon proximally adjacent the distal end thereof with an inner lumen extending within the tubular body to direct inflation fluid therethrough to the interior of the balloon. A thin conductive layer is provided which is in a radial heat transfer relationship  
15 with the working surface (i.e., the outer cylindrical surface) of the balloon and which is coextensive with a substantial portion (i.e., more than 30%, preferably all) of said working surface. In an alternative embodiment, part or all of the balloon itself is formed of conductive  
20 material. Electrical conducting means, such as deposited metal layers, foils, or wires may extend longitudinally through the elongated tubular body to electrically connect the thin conductive layer associated with the working surface of the balloon or the conductive balloon  
25 to an exterior electrical power source.

The thin electrically conductive layer on the inner surface of the balloon is preferably formed of an electrically conductive polymer, such as polyethylene based polymer, which has incorporated therein conductive  
30 metal particles or powder such as silver or gold or other conductive materials such as carbon fibers. Additionally, other metals such as tantalum can be incorporated into the conductive layer to control the



resistive heating thereof and to also facilitate fluoroscopic observation of the balloon during the angioplasty procedures.

Electrical power within the radio frequency range is preferred for the rapid and effective heating of the thin conductive layer in a heat transfer relationship with the working surface of the balloon. Such radio frequency power may be effectively delivered to the thin conductive layer by means of a coaxial cable which extends from the proximal end of the catheter through an inner lumen of the tubular body. The coaxial cable generally includes an outer layer of electrically conductive material (e.g., copper, aluminum, silver or gold or alloys thereof) an intermediate layer of dielectric material, such as polytetrafluoroethylene (Teflon) or polyimide, and an inner layer or core formed from electrically conductive materials, such as those described above. The inner conductive layer may be supported by an inner tubular member formed of high-strength plastic material, such as polyimide, which is longitudinally flexible but diametrically relatively rigid. In some embodiments, the inner conductive member may be a solid wire or rod.

In a presently preferred embodiment, the dilatation catheter is provided with a lumen passing through the interior of the balloon with inlet ports proximal to the balloon and discharge ports distal to the balloon to perfuse oxygenated blood to tissue distal to the catheter when the balloon is inflated during angioplasty procedures to permit extended dilatation periods. Long-term dilations of up to 30 minutes or more with a heated balloon allow for lower effective balloon temperatures.

The ability of this embodiment of the invention to

perfuse oxygenated blood distal to the inflated balloon makes the catheter assembly suitable for dilating thrombus and forming an interior passageway there through with little chance of emboli forming which may become  
5 disengaged from the main body of the thrombus and drift distally from the blockage.

While utilization of a thin conductive polymer layer to raise the temperature of the working surfaces of the dilatation balloon is a presently preferred embodiment, alternatives can be used. For example, the thin  
10 conductive polymer layer may be replaced by a metallic layer, such as gold, silver, copper, titanium, nichrome, and the like. The conductive layer may be on the interior or exterior surface of the balloon or on the  
15 exterior surface of the inner tubular member within the interior of the balloon. In the latter instance, the conductor can be wrapped around or otherwise secured to the exterior portion of the inner tubular member disposed within the interior of the balloon. However, if the  
20 conductor is on the exterior surface of the balloon, an insulating coating would be required on the metal surface to minimize current flow into the surrounding tissue when the balloon is inflated and heated. Additionally, the balloon member or the inner tubular member passing  
25 through the interior of the balloon may be formed of conductive material, e.g., a conductive carbon loaded plastic such as polyethylene terephthalate. However, as with metallic layers, a thin non-conductive layer is provided on the exterior of the balloon to minimize  
30 current flow into surrounding tissue. A particularly attractive material is conductive carbon fibers which have temperature limiting characteristics, i.e. as the current rises, the temperature rises which causes

expansion which in turn limits the current.

In some situations it is advantageous to heat only portions of the balloon surface. For example, occasionally atherosclerotic plaque builds up on only one side of an arterial wall. Heating of the entire circumference of the balloon can injure the portion of the arterial wall which has little or no plaque buildup. By providing separately controlled heating elements only the balloon section adjacent to the plaque would have to be heated to elevated temperatures when the balloon is inflated. The individual heating elements may have separate electrical power sources.

The electrical power supplied to the heat up element or plurality of heat up elements, may be controlled in response to the temperature of the balloon by a suitable feedback control system. The temperature of the outer surface of the balloon is determined directly or indirectly by suitable means and a signal representing the determined value is fed back to a control system which adjusts the output of the power source in response thereto to maintain the desired temperature or other parameter related to the temperature. A simple, inexpensive way to control the electrical power input to the catheter assembly is to calibrate the assembly to heat up to and maintain a desired temperature.

These and other advantages of the invention will become more apparent from the following detailed description of the invention and the attached exemplary drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is an elevational view partially in section of a dilatation catheter embodying features of the invention;

5        FIG. 2 is a transverse cross-sectional view taken along the lines of 2-2 shown in FIG. 1;

FIG. 3 is a transverse cross-sectional view taken along the lines 3-3 shown in FIG. 1; and

10       FIG. 4 is a elevations view partially in section of a perfusion dilatation catheter which embodies features of the invention;

FIG. 5 is a cross-sectional view taken along the lines 5-5 shown in FIG. 4;

15       FIG. 6 is a cross-sectional view taken along the lines 6-6 shown in FIG. 4;

FIG. 7 is a cross-sectional view taken along the lines 7-7 shown in FIG. 8;

20       FIG. 8 is a longitudinal view, in section, of an alternative embodiment of a dilatation catheter which embodies features of the invention;

FIG. 9 is a cross-sectional view taken along the line 9-9 shown in FIG. 8;

25       FIG. 10 is a cross-sectional view similar to FIG. 8 with parts removed to illustrate the layer of conductive material on the interior surface of the balloon;

FIG. 11 is a cross-sectional view taken along the line 11-11 shown in FIG. 8; and

30       FIG. 12 is a cross-sectional view of a low-profile steerable catheter embodying features of the invention.

FIG. 13 is an elevational view, partially in section of another embodiment of the invention;

10

FIG. 14 is a transverse cross-sectional view taken along the lines 14-14 shown in FIG. 3;

FIG. 15 is a transverse cross-sectional view taken along the lines 15-15 shown in FIG. 13; and

5        FIG. 16 is transverse cross-sectional view taken along the lines 16-16 shown in Fig. 13.

#### DETAILED DESCRIPTION OF THE INVENTION

Reference is made to FIGS. 1-3 which illustrate a dilatation catheter assembly 10 embodying features of the invention. The catheter assembly 10 generally comprises an outer tubular member 11, an inflatable dilatation balloon 12, and a multi-arm adapter 13 which facilitates directing inflation fluid to the interior of the balloon 12. An inner tubular member 14, preferably formed of nonconducting plastic material, is concentrically disposed within the outer tubular member 11 and has an inner lumen 15 adapted to slidably receive therein a guidewire 16. The guidewire 16 generally comprises an elongated core member 17 and a flexible radiopaque coil 20 on the distal portion thereof. A rounded radiopaque plug 21 is formed on the distal tip of guidewire 16.

The interior surface of the balloon 12 is provided with a thin conductive layer 22 in radial heat transfer relationship therewith, which, when electrical current is passed therethrough, resistively heats up and thereby raises the temperature of the exterior working surface 23 of the balloon 12. Preferably, the entire interior of the working surface of the balloon 12 is coated with the conductive layer 22.

30        Coaxial cable 24 extends between outer tubular member 11 and inner tubular member 14 and generally

comprises an outer conductive layer 25, an inner conductive layer 26 and an annular dielectric layer 27 disposed therebetween. The outer conductive layer 25 is electrically connected to the thin conductive layer 22 at the proximal end or shoulder 30 of the balloon 12 and the inner conductive layer 26 extends through the interior of the balloon 12 and is electrically connected to the thin conductive layer 22 at the distal end or shoulder 31 of balloon 12. Both the outer and inner conductive surfaces 25 and 26 may be coated with a thin insulating layer (not shown) to prevent contact with the inflation medium. An annular passageway 32 extends between the outer tubular member 11 and the outer surface of the coaxial cable 24 to direct inflation fluid from the adapter 13 into the interior of the balloon 12.

The coaxial cable 24 is connected at its proximal end to a suitable electrical power source 33. While such a power source may provide direct current or any suitable frequency of alternating current, in this embodiment the preferred frequency is between about 100 kilohertz and about 100 megahertz. Current frequency in excess of 100 kilohertz is less likely to affect heart muscle contraction and therefore is safer. Typically, the frequency employed is 40 megahertz and the power is about 2 to about 20 watts, preferably about 4 to 12 watts. A suitable radio frequency electrical power source is manufactured by Engineering Research Associates in Tucson, Arizona.

The power source 33 is preferably controlled based directly or indirectly upon the temperature of the balloon 12. In a preferred embodiment, the resistance load of the balloon including the leads thereto is monitored by an ohmmeter (not shown) and the output of

the electrical power source is controlled in response thereto. The signal generated by the ohmmeter is compared with a signal representing a desired set point in a controller 35 which provides a control signal to the power source 33 in a conventional feedback control system, as shown schematically in FIG. 1, to control the output thereof. A wide variety of control systems and strategies may be employed.

In the embodiment shown in FIGS. 1-3, the outer tubular member 11 is preferably formed of polyester such as Hytrel, the balloon is formed of a biaxially oriented polyethylene terephthalate, and the inner tubular member 14 is formed of polyimide tubing having a wall thickness of about 0.001 inch. A suitable polyimide tubing is sold by H. V. Technologies in Trenton, Georgia. The conductive layer on the interior surface of the balloon is a polyethylene having an electrically conductive metal such as silver or gold incorporated therein to provide the electrically conductive properties. Powdered tantalum can be incorporated into the coating to control the resistive heating of the layer 22 when electrical current passes therethrough. The presently preferred conductive polymer is CC40A polymer coating material sold by the Emerson & Cummings Company.

The conductive layer applied to the interior of the dilatation balloon is preferably formed of a polyethylene based conductive polymer sold under the name of CC40A by the Emerson and Cummings Company which is conductive due to the incorporation therein of silver. To apply the coating, the polymer resin is mixed with suitable solvent, such as toluene, and then applied to coat the interior of the balloon. The balloon with the interior so coated is then placed in an oven at about 90°C for

approximately 2 hours to drive off the solvent and to complete the curing of the polymer material. Coating thicknesses should range from about 0.0002 to about 0.0002 inch (0.0051 - 0.051 mm) with a typical thickness being about 0.001 inch (0.025 mm). Thereafter, the balloon can be secured to the tubular member in a suitable manner such as by heat shrinking the shoulders thereof to the tubular member or by the use of a suitable adhesive such as a conductive epoxy.

Various modifications can be made to the invention. For example, a perfusion lumen can be utilized separate and distinct from the guidewire lumen as shown in U.S. Serial No. 223,088, filed July 22, 1988, which is incorporated herein in its entirety. Additionally, the balloon may be formed in the tubular member by heating and inflating as described in U. S. Patent 4,323,071 (Simpson-Robert). Other modifications and improvements can be made without departing from the scope thereof.

Teflon or polyimide tubing, preferably about 0.006 inch thick, is disposed between the inner and outer conductive layers of the coaxial cable 24 as the dielectric layer.

FIGS. 4-7 illustrates another embodiment of a balloon dilatation catheter with a heated balloon which provides for the perfusion of blood distally of the catheter when the balloon thereof is inflated and heated during an angioplasty procedure. The catheter of this embodiment generally comprises a tubular member 40 having a small inner lumen 41, a large inner lumen 42, and a balloon 43 secured by shoulders 44 and 45 thereof to the tubular member. A plurality of inlet ports 46 in the wall of the tubular member 40 are provided proximal to the balloon 43 and a plurality of discharge ports 47 are



provided distal thereto. Both the inlet and discharge ports are in fluid communication with the large lumen 42 which extends through the interior of balloon 43. In this manner, when the balloon 43 is inflated and heated for extended periods of time, blood will flow through the inlet ports 46 into lumen 42 and be discharged through ports 47 to supply oxygenated blood to tissue distal to the catheter.

The small lumen 41 contains electrical conductors 50 and 51 for directing electrical power from a source (not shown) exterior to the catheter to the electrically conductive layer 52 provided on the interior of the balloon 43. The small lumen 41 opens into the interior of the balloon 43 with conductor 50 extending to the proximal end or shoulder 44 of the balloon 43 and conductor 51 extends to the distal end or shoulder 45. Generally, the conductors 50 and 51 are wrapped several times about the tubular member 40 underneath the ends or shoulders of the balloon 43 to contact the conductive layer 52 on the inner surface thereof. While the entire interior of the cylindrically shaped portion (the working surface) of the balloon 43 is preferably coated with conductive layer 52, a patterned layer may be used so that both connections thereto can be at the same end of the balloon in order to control the heating of the balloon in a desired fashion.

The passage of electricity through the conductive layer 52 on the interior of the balloon 43 provides sufficient heat to raise the temperature of the exterior working surface 53 of the balloon 43 to the desired levels. In this embodiment, the electrical current may be direct current or current at radio frequencies.

The larger lumen 42 is adapted to receive a guidewire as shown in FIG. 1 to facilitate the advancement of the catheter through the patient's arterial system in a conventional fashion.

5        FIGS. 8-11 illustrate another embodiment which also has a coaxial cable to transmit electrical power to the heating element of the balloon. The dilatation catheter of this embodiment has an outer tubular member 60 with an inflatable balloon member 61 secured to the  
10        distal end thereof and an inner tubular member 62 disposed within the outer tubular member and extending distally through the interior of the balloon. A coaxial cable 63 is disposed about the exterior of inner tubular member 62.

15        The interior of the balloon is provided with an electrically conductive layer 64 having an upper portion 65 and a lower portion 66. Portions 65 and 66 provide an electrical pathway over the interior of the balloon 61 and allow the ends of the pathway to be electrically  
20        connected to coaxial cable 63 at the distal end of the balloon. Upper half 65 is secured by means of electrically conductive adhesive 67 to inner conductive layer 68 of the coaxial cable 63 and the lower half is similarly bonded by electrically conductive adhesive 69  
25        to outer conductive layer 70 of the coaxial cable 63. An outer insulated covering 71 is provided on the exterior of the outer conductive layer 70 and an inner dielectrical layer 72 is provided between the inner and outer conductive layers 68 and 70.

30        The materials of construction of the prior embodiments are suitable for use in the embodiments shown in FIGS. 8-11.

FIG. 12 illustrates a low-profile steerable dilatation catheter which embodies features of the invention. In this embodiment, the catheter has an outer tubular member 80, an electrically conductive core member 81 disposed within the outer tubular member, and an inelastic inflatable balloon 82 having an electrically conductive layer 83 on the inner surface thereof. The electrically conductive core member 81 has a non-conductive dielectric layer 84 on the exterior surface thereof which in turn has an electrically conductive layer 85 thereon. Both conductive layer 85 and conductive core member 81 may be provided with an insulating outer layer (not shown) to prevent direct contact with the inflation medium or body fluids.

The portions of the core member 81 immediately adjacent the distal end or shoulder 86 of balloon 82 has both the conductive layer 85 and the dielectric layer 84 removed to facilitate the bonding of the core to the conductive layer 83 on the distal end or shoulder 86 of the balloon 82 by means of electrically conductive adhesive 87. The proximal end or shoulder 88 of balloon 82 is similarly secured by electrically conductive adhesive 89 to the outer conductive layer 85. A plurality of passageways 90 are provided in the tapered section of balloon 82 to allow inflation fluid to pass from the annular lumen 91 into the interior of the balloon.

In this embodiment, the distal end of the core member 81 terminates short of the distal plug 92 on the coil 93 and a shaping ribbon 94 is secured to the distal end of core 81 and extends to the plug 92. Other tip constructions may be employed. For example, the core member 81 can extend to the plug 92.

Torquing means (not shown) are provided on the proximal end of the core member 81 as will be appreciated by those skilled in the art to facilitate the advancement of the catheter through a patient's vasculature. The  
5 portion of the core member 81 distal to the connection thereof to the distal end of the balloon 82 is preferably coated with insulating material (not shown) in order to prevent the passage of electrical current into  
10 surrounding tissue. Both direct current and current at radio frequencies may be employed to heat up the working surface of the balloon as in the other embodiments.

Another preferred embodiment of the invention is disclosed in Figs. 13-16. In this embodiment the catheter 100 generally has a catheter body 101 with a  
15 dual lumen proximal portion 102 which extends distally from the proximal end thereof to the interior of the balloon 103. The upper lumen 104 of the dual lumen section has a crescent shaped transverse cross section and directs inflation of fluid to the interior of the  
20 balloon 103. A second lumen 105 of the proximal portion 102 has a circular transverse cross section which is adapted to receive a guidewire 106 therein. The catheter body 101 has a distal section 107 which continues through the interior of the balloon 103 and out the distal end  
25 thereof. Perfusion holes 110 are provided in the wall of the proximal portion 102 of the catheter body 101 and fluid communication with the second lumen 105 and perfusion holes 111 are provided in the wall of the  
30 distal portion 107 distal to the balloon.

The balloon 103 is preferably relatively inelastic and may be formed of suitable materials such as polyethylene, polyethylene terephthalate and other  
suitable materials. It is secured to the catheter body

102 by both the proximal and distal ends thereof by suitable means such as adhesive or solvent bonding.

Lead or bus wires 112 and 113 are provided within the first inflation lumen 104. The proximal ends thereof (not shown) extend out the proximal end of the catheter 100 and are suitably connected to a power source (not shown in this figure) the distal ends are electrically connected (e.g., by soldering) to heating element 114 which is coiled about the distal portion 107 of the catheter body 101 which extends through the interior of the balloon 103. The heating element may be a loop of resistive load made of Monel, nichrome or other suitable alloy wire and is preferably bonded to the underlying distal portion 107 by suitable adhesives such as cyanoacrylate or a UV cured epoxy. The heating element may be formed at least partly of temperature limiting fibrous carbon material such as Celion G30-400 carbon fiber from the BASF Corporation. The heating element may be incorporated into the wall of the distal portion 107 of the catheter body 101 within the balloon 103 or the distal portion itself may be formed of electrically resistive material to function as the heating element.

The proximal portion 102 and the distal portion 107 of the catheter body 101 are preferably formed of separate extrusions of polyester (e.g., Hytrel) butt joined by suitable means such as heat and pressure or an adhesive. The distal portion 107 within the interior of the balloon 103 is preferably thick walled (e.g., 0.005 inch), formed of high strength materials or reinforced in some manner to prevent collapse during the time the balloon 103 is inflated, but a thinner more flexible portion thereof extends under the junction with the distal end of the balloon to minimize vessel trauma when

advancing the catheter through a patient's coronary anatomy.

At least five and preferably about 10 proximal perfusion holes 110 are provided in the wall of the proximal portion 102 of the catheter body 101 and at least 2, preferably 4 distal perfusion holes 111 are provided in the wall of the distal portion 107.

An electrical power source (not shown) preferably operates at a frequency of about 100 to about 750 kilohertz (e.g., 250 KHZ) with a maximum power availability of about 20 watts. Preferably a battery-powered source (e.g., 12 volts) is used for maximum patient isolation and protection. The power source may be controlled by a conventional analog feedback circuit which has one or more temperature sensing devices 117 such as thermocouples, thermistors and the like suitably secured by an adhesive to the inner surface of the balloon 103 or to the heating coil 114. When multiple temperature sensors are employed either the maximum temperature sensed or an average temperature sensed by all of the temperature sensors thermocouples may be employed for control purposes.

In use the catheter 100 is advanced preferably over a guidewire 106 until the balloon crosses the stenotic region of a patient's arterial system which is to be treated. The balloon 103 is inflated by means of an inflation liquid which passes through inflation lumen 104 so as to press the working surface of the balloon against the atherosclerotic plaque which lines the stenotic region.

Electrical current about 250 KHz is directed through leads 112 and 113 to heating coil 114 which is wrapped around and secured to the distal portion 107 which

extends through the interior of the balloon 103. The heater coil 114 raises the temperature of the inflation fluid within the balloon 103 which in turn raises the temperature of the outside of the balloon. Electrical energy is applied to heater coils to maintain a balloon surface temperature of about 40 to about 120 C preferably 60° - 80° C while the balloon is inflated. The balloon wall temperature is determined by means of thermocouple 117.

With the balloon 103 inflated, blood is forced to flow through the proximal perfusion ports 110 through the second lumen 105 and out the distal perfusion ports 111. Preferably a guidewire 106 is pulled proximally of the perfusion section so that the distal tip is located proximally to at least one of the proximal perfusion ports (preferably all) so as to not interfere with the flow of blood through the second lumen 105. The perfusion of oxygenated blood to locations distal to the catheter avoids a generation of ischemic conditions therein which frequently are already in jeopardy. Furthermore, long term dilations allow for much lower temperatures to be used resulting in less pain and less arterial damage.

The heated inflated balloon of the invention reshapes or remolds, the atherosclerotic plaque, particularly the softer plaques, and generally provides for a much less traumatic dilation of the stenosis. The elevated temperatures over extended periods reduce platelet adhesion, which tends to accelerate restenosis, and the high temperature and pressure can also set the artery wall so as to minimize arterial recoil after the balloon is deflated.

The procedures to dilate a thrombotic occlusion with the catheter assembly in accordance with the invention are essentially the same as that for atherosclerotic plaque, although the maximum pressures are usually much lower when dilating thrombus than when dilating plaque. The time required for dilation is generally inversely related to the balloon temperature. The device is particularly attractive for use in emergency procedures for a myocardial infarction.

The catheter components of the various embodiments of the invention generally can be made of conventional materials. The tubular member may be formed out of extruded polyester tubing and the balloon may be biaxially oriented polyethylene terephthalate materials. The core member of the guidewire may be formed of stainless steel and the helical coil at the distal tip thereof may be formed in whole or in part of stainless steel or more radiopaque materials, such as platinum, palladium, tungsten, rhenium, molybdenum or alloys thereof.



## WHAT IS CLAIMED IS:

1. A balloon dilatation catheter having means to apply heat to atheroma within a patient's artery during the dilation thereof, the catheter comprising:

5 a) an elongated tubular member having an inflation lumen extending therein;

b) a flexible, relatively inelastic inflatable balloon on a distal portion of the tubular member which is adapted to receive inflation fluid from the inflation  
10 lumen extending therein;

c) a thin electrically conductive layer forming a pathway for electrical current which is coextensive with a substantial portion of the working surface of the balloon and in radially conductive heat transfer  
15 relationship therewith; and

d) means to pass electrical current through the electrically conductive pathway to resistively heat the layer and thereby increase the temperature of the working surface of the inflatable balloon.

20 2. The dilatation catheter of claim 1 wherein means are provided to determine the temperature of the working surface of the balloon in order to control the electrical power to the thin conductive layer in response to the temperature determined.

25 3. The dilatation catheter of claim 2 wherein the means to determine the temperature includes means to

detect the resistance or inductance load in the conductive layer of the balloon and the means to pass electrical current thereto.

5           4. The dilatation catheter of claim 3 including control means to compare the resistance or inductance of the load detected with a desired set point and to adjust the electrical power provided to the conductive layer in response to the detected resistance or inductance.

10           5. The dilatation catheter of claim 1 wherein the thin conductive layer is coextensive with at least 30 percent of the area of the working surface of the inflatable balloon.

15           6. The dilatation catheter of claim 5 wherein the thin conductive layer extends continuously in a pattern over the interior surface of the balloon.

20           7. The dilatation catheter of claim 1 wherein electrical power is supplied to the thin conductive layer by means of a coaxial cable which extends through tubular body from the proximal end thereof to the inflatable balloon.

8. The dilatation catheter of claim 7 wherein the coaxial cable has inner and outer electrical conducting members and a dielectric disposed therebetween.

9. The dilatation catheter of claim 8 wherein one of said conducting members is electrically connected to the thin conductive layer at one end of the balloon and the other conducting member is electrically connected to the thin conductive layer at the other end of the balloon.

10. The dilatation catheter of claim 8 wherein the inner and outer conducting members are formed of electrically conductive wire, foil or deposited layers.

11. The dilatation catheter of claim 10 wherein the electrical conductive members are formed from a material selected from the group consisting of copper, aluminum, silver, gold and alloys thereof.

12. A balloon dilatation catheter having means to apply heat to atheroma within a patient's artery during the dilatation thereof, comprising:

a) an elongated tubular member having an inflation lumen extending therein;

b) a flexible, relatively inelastic balloon on the distal portion of the tubular member which has a working surface in the exterior thereof and which is adapted to receive inflation fluid from the inflation lumen within the tubular member;

c) means associated with the balloon to heat the exterior working surface thereof by means of an

25

electrical current passing therethrough; and

5 d) a coaxial cable extending through an inner lumen in the tubular member to the heat-generating means associated with the balloon and adapted to be electrically connected to an electrical power source exterior to the catheter.

13. The dilatation catheter of claim 12 wherein the electrical power source has a frequency within the range of about 100 kilohertz to 100 megahertz.

10 14. The dilatation catheter of claim 12 wherein the coaxial cable includes inner and outer conductive members and a dielectric disposed therebetween.

15 15. The dilatation catheter of claim 14 wherein the dielectric is a cylindrically shaped member formed of polytetrafluoroethylene or polyimide.

20 16. The dilatation catheter of claim 14 wherein the inner member has a tubular structure with an inner lumen extending therethrough which is adapted to receive a guidewire therein.

17. The dilatation catheter of claim 14 wherein the inner and outer electrically conducting members are formed of an electrically conductive metal selected from

the group consisting of copper, aluminum and alloys thereof.

18. A balloon dilatation catheter having means to apply heat to atheroma during the dilatation thereof, comprising:

- 5 a) an elongated tubular member having an inflation lumen extending therein;
- b) a flexible, relatively inelastic balloon on the distal portion of the tubular member which is adapted to receive inflating fluid from the inflation lumen within the tubular member;
- 10 c) means to elevate the temperature of atheroma during the dilation thereof when the balloon is inflated; and
- 15 d) one or more inlet ports in fluid communication with a lumen which extends through the balloon; and
- e) one or more discharge ports distal to the balloon in fluid communication with the lumen extending therethrough whereby oxygenated blood may pass through the balloon and distally to the catheter when the balloon is inflated within a patient's artery.
- 20

19. A balloon dilatation catheter having means to apply heat to atheroma within a patient's artery during the dilation thereof, the catheter comprising:

- 25 a) an elongated tubular member having an inflation lumen extending therein;
- b) a flexible, relatively inelastic inflatable balloon on a distal portion of the tubular member which is formed of electrically conductive plastic material and

which is adapted to receive inflation fluid from the inflation lumen extending therein; and

5 c) means to pass electrical current through the electrically conductive balloon to resistively heat the balloon and thereby increase the temperature of the working surface of the inflatable balloon.

20. A steerable balloon dilatation catheter having means to apply heat to atheroma within a patient's artery during the dilation thereof, the catheter comprising:

10 a) an elongated tubular member having an inflation lumen extending therein;

b) a flexible, relatively inelastic inflatable balloon on a distal portion of the tubular member which is adapted to receive inflation fluid from an inner lumen  
15 extending therein;

c) a guide member extending through the interior of the balloon and out the distal end thereof;

d) a flexible body disposed about the portion of the guide member which extends out the distal end of the  
20 balloon;

e) a thin, electrically conductive layer forming a pathway for electrical current which is coextensive with a substantial portion of the working surface of the balloon and in radially conductive heat transfer  
25 relationship therewith; and

f) a means to pass electrical current through the conductive layer to resistively heat the layer and thereby increase the temperature of the working surface of the inflatable balloon.

21. The steerable balloon dilatation catheter of claim 20 wherein the guide member is formed of electrically conductive material and passes electrical current to the thin electrically conductive layer.

5           22. The steerable balloon dilatation catheter of claim 20 wherein the guide member is an inner member of a coaxial cable for passing electrical current to the thin electrically conductive layer.

10           23. The steerable balloon dilatation catheter of claim 22 wherein the coaxial cable extends the length of the elongated tubular member through the inflation lumen thereof.

15           24. The steerable balloon dilatation catheter of claim 20 wherein the distal end of the tubular member is secured to the exterior of the proximal end of the balloon.

20           25. The steerable balloon dilatation catheter of claim 24 wherein the proximal end of the balloon has a shoulder which is secured about the coaxial cable and in electrical contact therewith.

26. A method of treating a stenotic region of a patient's artery over an extended period of time, comprising:

29

a) advancing a dilatation balloon catheter through the patient's arterial system until the balloon of the catheter is disposed within the stenotic region;

5 b) inflating the balloon to dilate the stenotic region thereby occluding the patient's artery;

c) applying heat to the stenotic region while the balloon is inflated; and

10 d) perfusing blood through a lumen which passes through the interior of the balloon to maintain blood flow to tissue distal to the catheter.

27. The dilatation catheter of claim 18 wherein the means to raise the temperature of the atheroma includes a heating element on an inner tubular member which raises the temperature of the inflation fluid  
15 therein which in turn raises the temperature of the surface of the balloon in contact with atheroma when the balloon is inflated.

28. The dilatation catheter of claim 27 wherein the heating element is made of electrically resistive  
20 wire and is coiled about the inner member.

29. The dilatation catheter of claim 28 wherein the coiled heating element is bonded to the inner member.

30. The dilatation catheter of claim 18 wherein the balloon is provided with a plurality of separately  
25 controlled heating elements to vary the temperature of



the balloon about the periphery thereof.

31. The dilatation catheter of claim 30 wherein the heating elements are secured to or disposed in the wall of the balloon.

5           32. A method of dilating a stenotic region in a patient's artery, comprising:

          a) providing a dilatation catheter having an elongated catheter body with a first inflation lumen extending therein a flexible relatively inelastic balloon  
10           on a distal portion thereof with the interior of the balloon in fluid communication with the inner inflation lumen and a second inner lumen extending therein which receives a guidewire therein, having a plurality of perfusion holes in the catheter body proximal to the  
15           balloon in fluid communication with the second inner lumen and a plurality of perfusion holes in the catheter body distal to the balloon in fluid communication with the second inner lumen and having means to heat the balloon;

20           b) advancing the aforesaid catheter in a patient's arterial system until the balloon thereof crosses the stenotic region in the patient's artery;

          c) inflating the balloon to dilate the stenotic region, the inflated balloon blocking the artery and  
25           causing blood to flow through the proximal perfusion holes, the second lumen and out the distal perfusion holes;

          d) heating the inflated balloon to apply both heat and pressure to the stenotic region; and

31

e) deflating the balloon and withdrawing the catheter from the patient's artery.

33. The method of claim 31 wherein the heated, inflated balloon reshapes the stenotic region.

5           34. The method of claim 32 wherein the balloon is provided with a plurality of separately controlled heating elements to vary the temperature about the periphery of the balloon.

10           35. A balloon dilatation catheter having means to apply heat to atheroma in a patient's artery during the dilatation thereof, comprising:

a) an elongated tubular member having an inflation lumen extending therein; and

15           b) a flexible, relatively inelastic balloon on the distal portion of the tubular member which is adapted to receive inflating fluid from the inflation lumen within the tubular member and having a plurality of separately controlled heating elements which vary the temperature of the balloon about the periphery thereof if inflated.

20           36. The balloon dilatation catheter in claim 35 having one or more perfusion ports distal to the balloon but in fluid communication with a second lumen extending through the interior of the balloon whereby oxygenated blood may pass through the balloon and distally to the  
25 catheter when the balloon is inflated within a patient's

artery.

37. An intraarterial dilatation catheter, comprising:

- 5 a) an elongated catheter body having an inflation lumen extending therein;
- b) an inflatable balloon on the distal extremity of the catheter body having an interior in fluid communication with the inflation lumen;
- 10 c) a second lumen extending through at least a distal portion of the catheter body;
- d) at least one perfusion port in the catheter body proximal to the balloon in fluid communication with the second lumen and at least one perfusion port in the catheter body distal to the balloon in fluid  
15 communication with the second lumen; and
- e) means to heat the outer surface of the balloon so that upon the inflation of the balloon within a stenotic region.

20 38. The dilatation catheter of claim 36 wherein the balloon is provided with a plurality of separately controlled elements to vary the temperature of the balloon about the periphery thereof.

FIG. 1

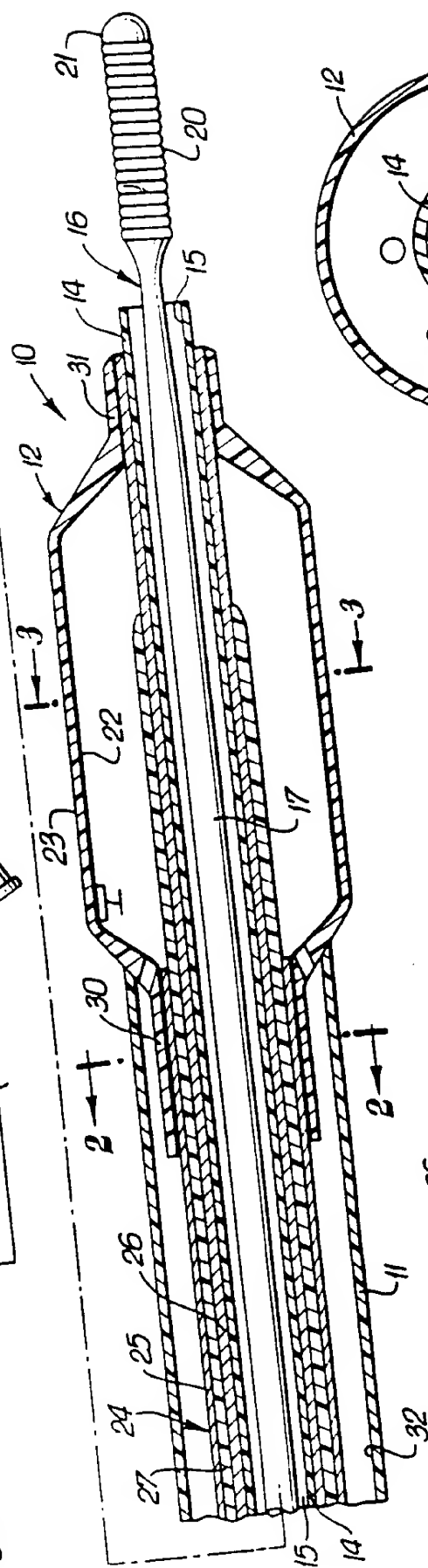
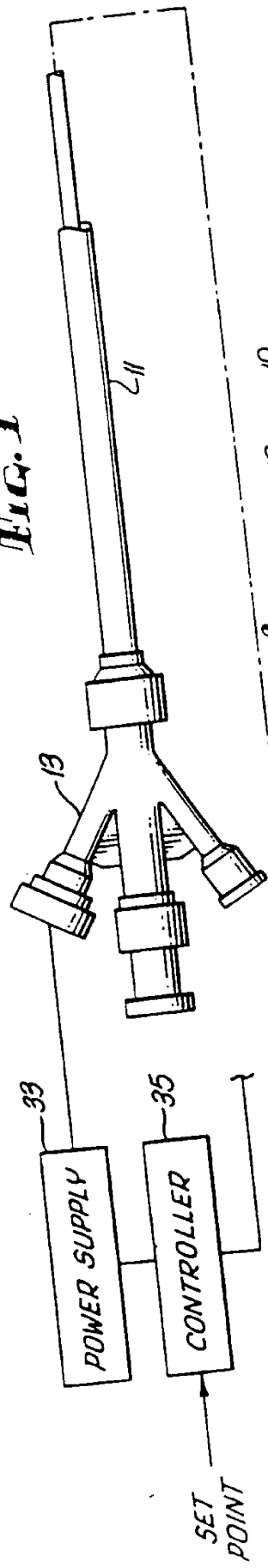


FIG. 3

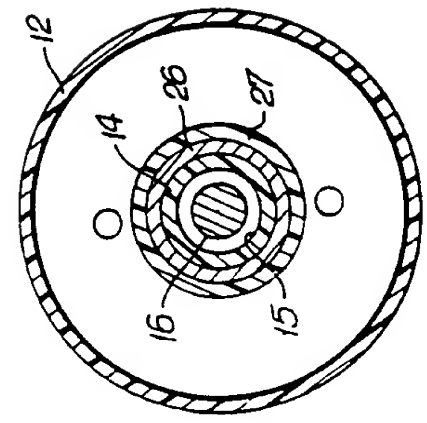


FIG. 2

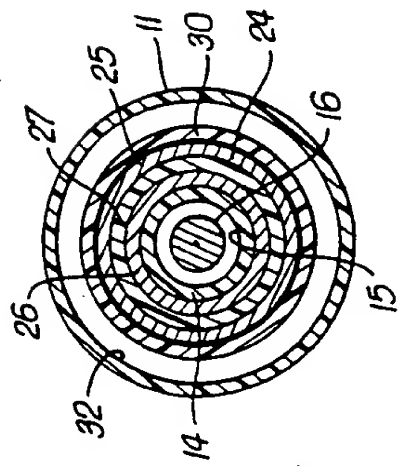


FIG. 4

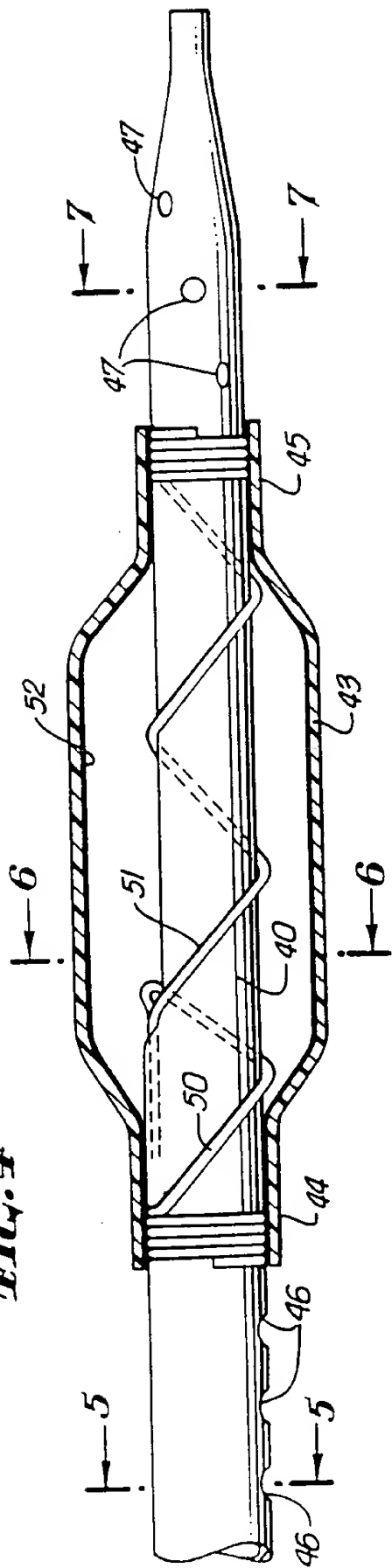


FIG. 6

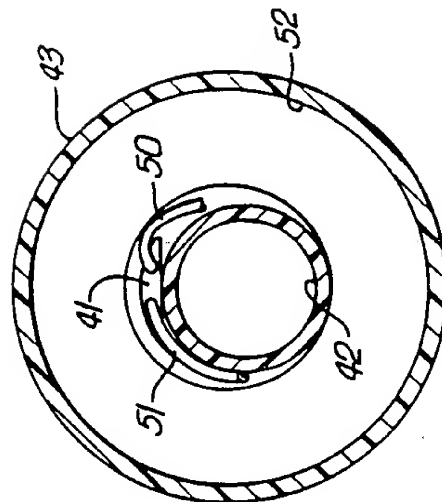


FIG. 5

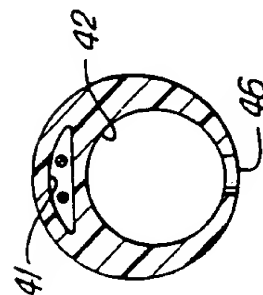


FIG. 7

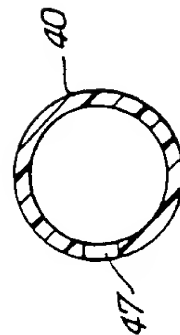


FIG. 8

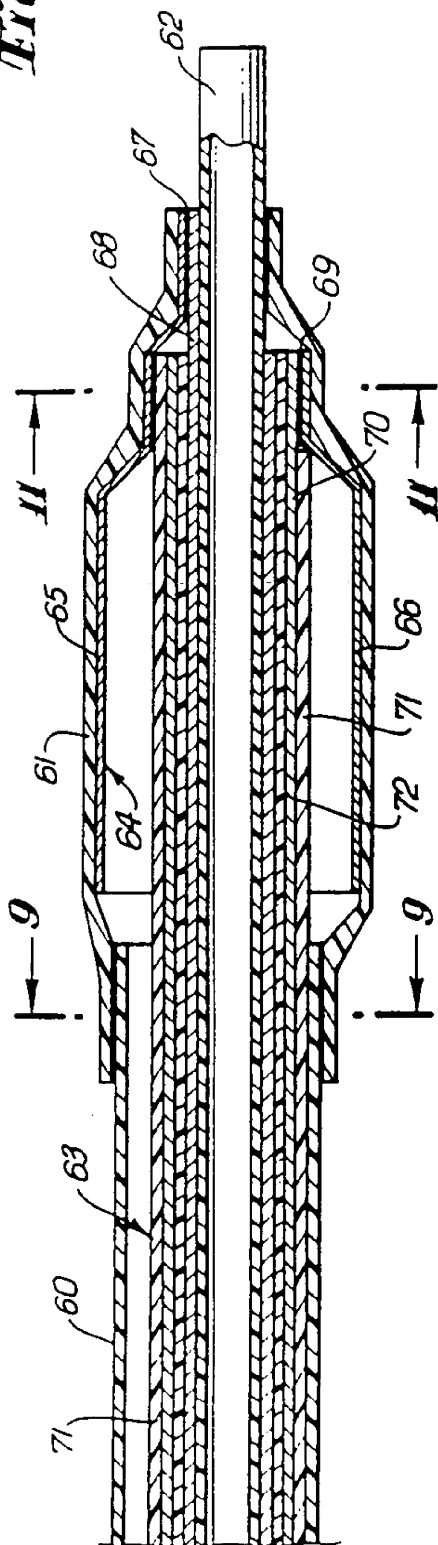


FIG. 11

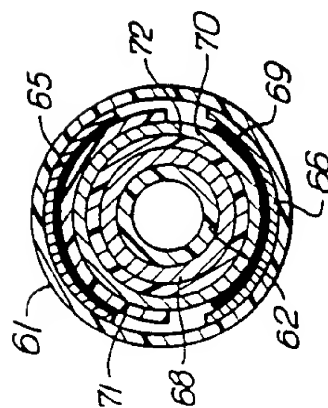


FIG. 10

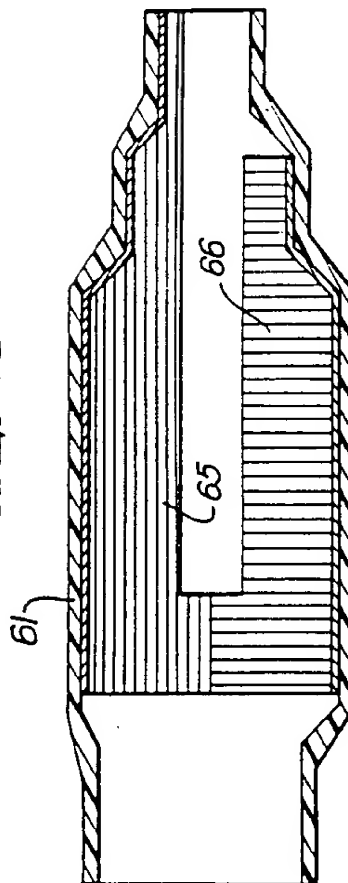
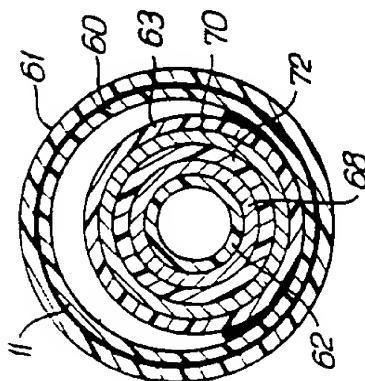


FIG. 9



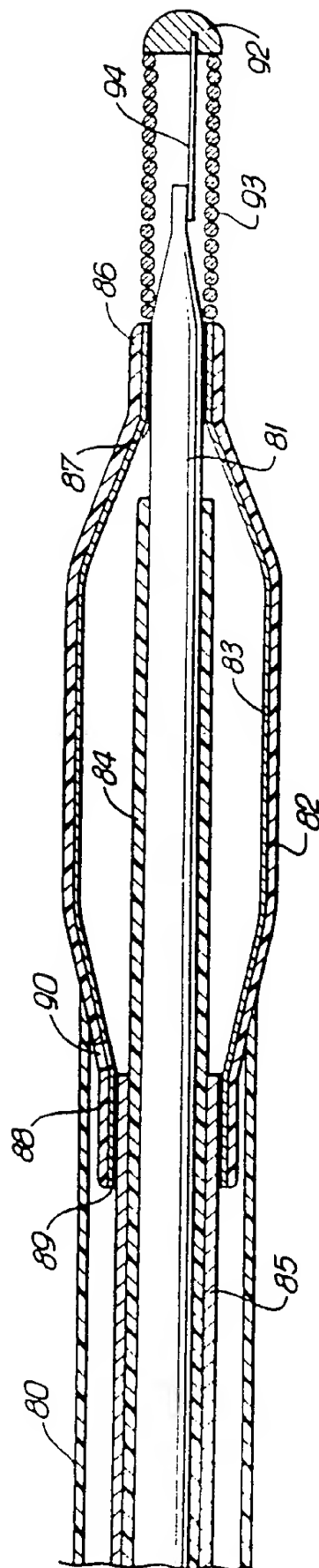
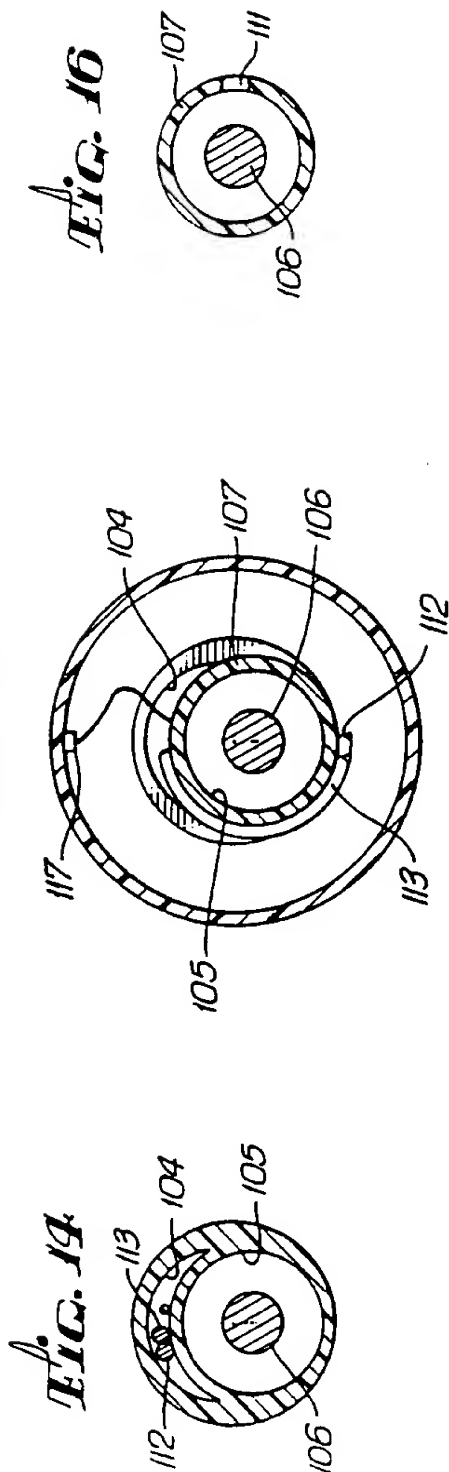
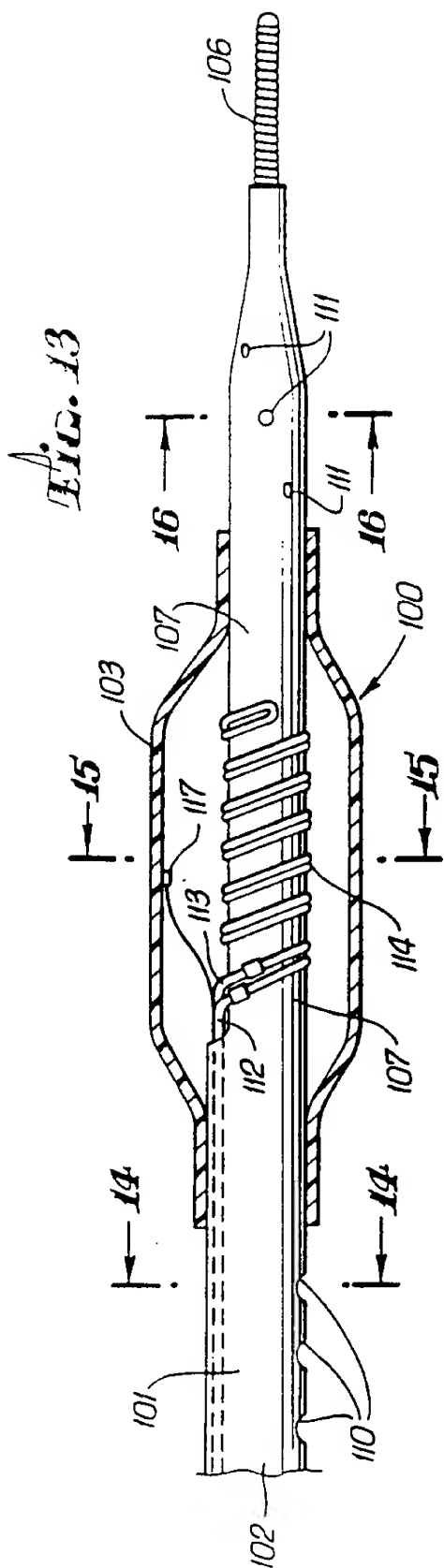


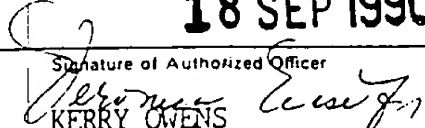
FIG. 12





# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US90/02744

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5) : A61B 17/36; A61M 37/00 U.S. Cl : 606/7,27,30-33; 604/95		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
U.S.	606/7,27,30-33,159,192,194; 604/95,96	
Documentation Searched other than Minimum Documentation to the extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b>		
Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X Y	US,A 4,799,479 (J. RICHARD SPEARS) 24 JANUARY 1989 See entire document, See figure 8.	1,5,6,19-21 2-4,7-8,12- 17,22-25
Y	US,A 4,654,024 (CRITTENDEN ET AL) 31 March 1985 See column 4, lines 25-30; lines 60-65.	2-4,35
Y	US,A 4,643,186 (ROSEN ET AL) 17 February 1987 See figures 1 and 2, column 3, lines 5-14.	7-8,12-17, 22-25
A	US,A 4,808,164 (ROBERT HESS) 28 February 1989 See entire document.	1-38
A	US,A 4,641,649 (WALINSKY ET AL) 10 February 1987 See figure 2a.	7-8,12-17, 22-25
(CON'T)		
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>*</sup> Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
14 AUGUST 1990		<div style="font-size: 1.5em; font-weight: bold;">18 SEP 1990</div>
International Searching Authority		Signature of Authorized Officer
ISA/US		 KERRY OWENS

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

A

US,A 4,672,962 (HAROLD HERSHENSON)  
 16 June 1987  
 See column 4, lines 1-9.

2-4

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers \_\_\_\_\_, because they relate to subject matter <sup>12</sup> not required to be searched by this Authority, namely:

2. ☐ Claim numbers \_\_\_\_\_, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out <sup>13</sup>, specifically:

3. ☐ Claim numbers \_\_\_\_\_, because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>2</sup>

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Remark on Protest

☐ The additional search fees were accompanied by applicant's protest.

☐ The protest accompanied the payment of additional search fees.